



# Applicability of the shell model for energy transfer

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## Abstract

In the cubic hexachloroelpasolite crystals  $\text{Cs}_2\text{NaSm}_x\text{Eu}_y\text{Gd}_{1-x-y}\text{Cl}_6$  the emission from the  ${}^4\text{G}_{5/2}$  state of  $\text{Sm}^{3+}$  is strongly quenched by cross-relaxation and energy-transfer processes. At temperatures above 100 K the energy-transfer rate consists of non-resonant contributions due to electric dipole vibronic–electric dipole vibronic interaction and a near-resonant contribution where both the donor and acceptor transitions are magnetic-dipole allowed. The near-resonant energy-transfer rate is calculated and the small discrepancy between the theoretical and experimental values shows the applicability of the shell model for highly symmetric crystals. © 1998 Elsevier Science S.A.

**Keywords:** Energy-transfer rate; Hexachloroelpasolite crystals; Shell model

## 1. Introduction

In a previous paper we applied a shell model for the simultaneous treatment of cross-relaxation and energy-transfer processes to understand the decay kinetics of the  ${}^4\text{G}_{5/2}$  state of  $\text{Sm}^{3+}$  in the cubic hexachloroelpasolite crystals  $\text{Cs}_2\text{NaSm}_x\text{Eu}_y\text{Gd}_{1-x-y}\text{Cl}_6$  [1]. In this system the emission from the  ${}^4\text{G}_{5/2}$  state of  $\text{Sm}^{3+}$  is strongly quenched by both cross-relaxation to nearby  $\text{Sm}^{3+}$  ions and energy transfer to the  ${}^5\text{D}_0$  state of  $\text{Eu}^{3+}$  acceptors. The temperature dependence of the intrinsic decay rate  $k_0$  of an isolated  $\text{Sm}^{3+}$  ion and the cross-relaxation rate  $k^{\text{CR}}$  to a single nearest-neighbour  $\text{Sm}^{3+}$  acceptor can be described by the coth law for vibronic transitions whereas the energy-transfer rate  $k^{\text{ET}}$  from the  $\text{Sm}^{3+}$  donor ion to a single  $\text{Eu}^{3+}$  acceptor in the first shell shows a rapid increase at temperatures above 100 K [2]. The stronger temperature dependence of this rate indicates that two different energy-transfer processes are present. At low temperatures the increase of  $k^{\text{ET}}$  is consistent with a squared coth law for electric dipole vibronic–electric dipole vibronic interaction whereas near-resonant transfer involving the  $\text{Sm}^{3+}$  ( ${}^4\text{G}_{5/2}$ ) $\Gamma_7 \rightarrow ({}^6\text{H}_{7/2})\Gamma_8$  donor transition and the  $\text{Eu}^{3+}$  ( ${}^7\text{F}_1$ ) $\Gamma_4 \rightarrow ({}^5\text{D}_0)\Gamma_1$  acceptor transition shown in Fig. 1 as a pair of transitions BB' becomes dominant as

the temperature is raised where both transitions are of magnetic-dipole allowed electronic origin. The total energy-transfer rate to a single  $\text{Eu}^{3+}$  acceptor in the first shell shows an almost linear increase in the temperature range 200 to 300 K which is well understood in terms of the thermal population of the initial  $\text{Sm}^{3+}$  ( ${}^4\text{G}_{5/2}$ ) $\Gamma_7$  donor and  $\text{Eu}^{3+}$  ( ${}^7\text{F}_1$ ) $\Gamma_4$  acceptor states [2]. The energy gaps between the  $\Gamma_8$  and  $\Gamma_7$  levels of the  ${}^4\text{G}_{5/2}$  state of  $\text{Sm}^{3+}$  as well as between the  ${}^7\text{F}_0$  and  ${}^7\text{F}_1$  states of  $\text{Eu}^{3+}$  are about  $350\text{ cm}^{-1}$ , such that the near-resonant process involving these initial states contributes to the total energy-transfer rate at temperatures  $>100\text{ K}$ .

In this paper we calculate the near-resonant contribution to the energy-transfer rate between a  $\text{Sm}^{3+}$  donor ion and a  $\text{Eu}^{3+}$  acceptor at a distance  $R_1 = 7.65\text{ \AA}$  assuming magnetic dipole–magnetic dipole interaction among donor and acceptor ions. Within the theoretical uncertainty and the experimental error the order of magnitude of the calculated energy-transfer rate is in agreement with the near-resonant contribution to the experimental rate which is related to the exponential decay rate of luminescence from the  ${}^4\text{G}_{5/2}$  state of  $\text{Sm}^{3+}$  in  $\text{Cs}_2\text{NaSm}_{0.01}\text{Eu}_{0.99}\text{Cl}_6$  [1].

## 2. Theory

### 2.1. The shell model for energy transfer

Within our shell model assuming electric dipole (vib-

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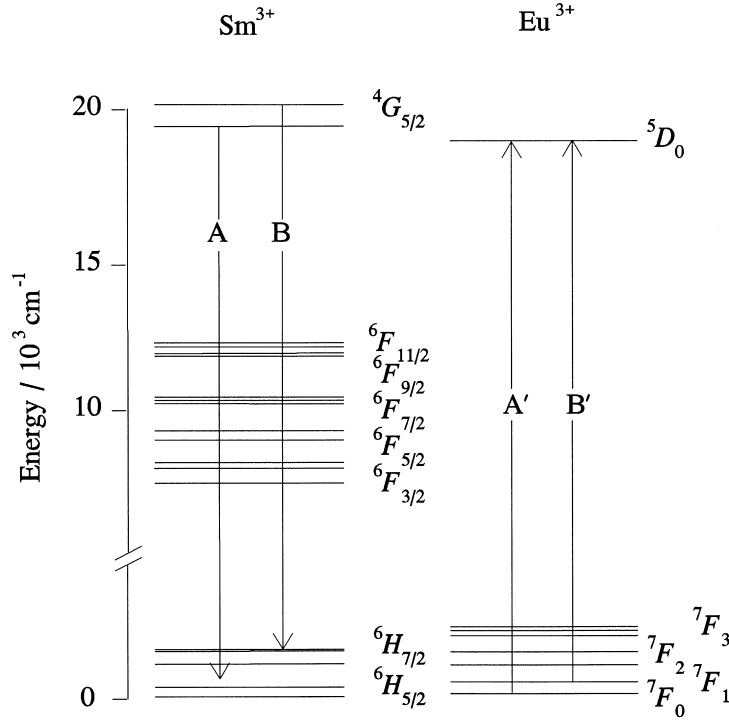


Fig. 1. Energy levels and transitions involved in the cross-relaxation and energy-transfer processes in Cs<sub>2</sub>NaSm<sub>x</sub>Eu<sub>y</sub>Gd<sub>1-x-y</sub>Cl<sub>6</sub>. The letters indicate pairs of near-resonant transitions.

ronic)–electric dipole (vibronic) and/or magnetic dipole–magnetic dipole interaction among donor and acceptor ions the donor emission decay following a  $\delta$ -function excitation pulse takes the form [1]

$$I(t) = I(0)\exp(-k_0 t) \prod_{n=1}^{\text{shells}} \sum_{r_n=0}^{N_n} \sum_{q_n=0}^{N_n-r_n} O_{r_n, q_n}^{N_n} (x, y) \exp \left[ -\frac{2}{3}(r_n k^{\text{CR}} + q_n k^{\text{ET}}) \left( \frac{R_1}{R_n} \right)^6 t \right] \quad (1)$$

In the present system,  $k_0$  is the intrinsic decay rate of an isolated Sm<sup>3+</sup> ion including radiative and non-radiative relaxation processes,  $k^{\text{CR}}$  is the cross-relaxation rate from the donor ion to a chemically identical acceptor in the first shell, and  $k^{\text{ET}}$  is the rate of energy transfer to a  $n=1$  acceptor chemically different from the donor. The occupancy factor  $O_{r_n, q_n}^{N_n} (x, y)$  is the probability of finding  $r_n$  acceptor ions chemically identical to the donor and  $q_n$  chemically different acceptors in the  $n$ th shell at a distance  $R_n$  which has a capacity to contain  $N_n$  acceptors [1]. Since the rates  $k_0$ ,  $k^{\text{CR}}$ , and  $k^{\text{ET}}$  are determined from exponential decay of the Sm<sup>3+</sup> (<sup>4</sup>G<sub>5/2</sub>) emission in Cs<sub>2</sub>NaSm<sub>0.001</sub>Gd<sub>0.999</sub>Cl<sub>6</sub>, Cs<sub>2</sub>NaSmCl<sub>6</sub>, and Cs<sub>2</sub>NaSm<sub>0.01</sub>Eu<sub>0.99</sub>Cl<sub>6</sub>, respectively, the donor decay curves for all other concentrations of donor and acceptor ions may be calculated by Eq. (1) without any adjustable parameters.

## 2.2. Resonant energy transfer

For energy transfer between rare-earth ions we consider transitions from the initially excited crystal-field component  $|\alpha'_D J'_D \Gamma'_D \gamma'_D\rangle$  of multiplet  $|\alpha'_D J'_D \Gamma'_D\rangle$  to the lower lying crystal-field component  $\langle \alpha_D J_D \Gamma_D \gamma_D |$  of multiplet  $\langle \alpha_D J_D \Gamma_D |$  of the donor ion with a similar notation for the acceptor.  $\alpha$  represents any other quantum number necessary to specify the state. The total crystal-field splitting is typically a few cm<sup>-1</sup> and the population of the component levels is given by a Boltzmann distribution as

$$p_{d'} = g_{d'} \exp(-E_{d'}/k_B T) \left[ \sum_{d''} g_{d''} \exp(-E_{d''}/k_B T) \right]^{-1} \quad (2)$$

where the energy  $E_{d'}$  is related to the position of the lowest level of the multiplet taken as  $E_{d''} = 0$ , and  $g_{d'}$  is the degeneracy of the  $d'$ th level. The resonant energy-transfer rate from a donor ion to an acceptor then becomes [3,4]

$$k^{\text{ET}} = \frac{2\pi}{\hbar} \sum_{d', a} p_{d'} p_a \langle da' | \hat{H}_{\text{DA}} | d'a \rangle^2 \int f_{d'a}(E) f_{aa'}(E) dE \quad (3)$$

For magnetic dipole–magnetic dipole interaction the Hamiltonian in Eq. (3) takes the form

$$\hat{H}_{\text{DA}}^{\text{MD}} = \frac{\mu_0}{4\pi} \sum_{i,j} \left[ \frac{\mu_{d'a}^i \mu_{aa'}^j}{R^3} - \frac{3(\mu_{d'a}^i R)(\mu_{aa'}^j R)}{R^5} \right] \quad (4)$$

where  $\mu_{d'a}^i$  is the  $i$ th component of the magnetic-dipole

moment of the donor transition and  $i$  and  $j$  running over  $x$ ,  $y$ , and  $z$ . Rewriting Eq. (4) the transition matrix element is given by

$$|\langle da' | \hat{H}_{\text{DA}}^{\text{MD}} | d'a \rangle|^2 = \left( \frac{\mu_0}{4\pi R^3} \right)^2 \sum_{i,j} (\mu_{d'd}^i)^2 (C_{ij})^2 (\mu_{aa'}^j)^2 \quad (5)$$

In a face-centred cubic lattice, the components of the magnetic-dipole moment are independent of orientation such that  $(\mu_{d'd}^i)^2 = \frac{1}{3}(\mu_{d'd})^2$ , etc. Introducing a geometric factor which includes the angular dependence of the interacting magnetic dipoles as

$$G_{d'd;aa'}^{\text{MD}} = \frac{1}{9} \sum_{i,j} (C_{ij})^2 \quad (6)$$

Eq. (5) becomes

$$|\langle da' | \hat{H}_{\text{DA}}^{\text{MD}} | d'a \rangle|^2 = \left( \frac{\mu_0}{4\pi R^3} \right)^2 G_{d'd;aa'}^{\text{MD}} (\mu_{d'd})^2 (\mu_{aa'})^2 \quad (7)$$

Writing the crystal-field states as

$$|f^N[\alpha SLJ]\Gamma\gamma\rangle = \sum_M c(JM|J\Gamma\gamma) |f^N[\alpha SL]JM\rangle \quad (8)$$

etc., the  $\gamma_0$ th component of the magnetic-dipole transition moment transforming as the representation  $\Gamma_4$  of the octahedral double group  ${}^2O$  is given by

$$\mu_{d'd}^{\Gamma_4\gamma_0} = \sum_{\gamma,\gamma'} \langle f^N[\alpha SLJ]\Gamma\gamma | \hat{m}_{\gamma_0}^{(\Gamma_4)} | f^N[\alpha' S' L' J'] \Gamma' \gamma' \rangle \quad (9)$$

where  $\hat{m}^{(\Gamma_4)} = \mu_B(\hat{L} + 2\hat{S})$  is the magnetic moment tensor operator and  $\mu_B$  is the Bohr magneton. Application of the Wigner–Eckart theorem to the matrix element in Eq. (9) gives [5]

$$\begin{aligned} & \langle f^N[\alpha SLJ]\Gamma\gamma | \hat{m}_{\gamma_0}^{(\Gamma_4)} | f^N[\alpha' S' L' J'] \Gamma' \gamma' \rangle \\ &= \begin{pmatrix} J\Gamma & 1\Gamma_4 & J'\Gamma' \\ \gamma & \gamma_0 & \gamma' \end{pmatrix} \langle f^N[\alpha SL]J || \hat{m}^{(\Gamma_4)} || f^N[\alpha' S' L'] J' \rangle \end{aligned} \quad (10)$$

within the  $\{J,\Gamma\}$  scheme. The symmetry coupling coefficients

$$\begin{aligned} & \begin{pmatrix} J\Gamma & 1\Gamma_4 & J'\Gamma' \\ \gamma & \gamma_0 & \gamma' \end{pmatrix} = \sum_{M,M',q} (-1)^{J'-M'} \begin{pmatrix} J & 1 & J \\ -M' & q & M \end{pmatrix} \\ & c(1q|1\Gamma_4\gamma_0)c(JM|J\Gamma\gamma)c(J'M'|J'\Gamma'\gamma')^* \end{aligned} \quad (11)$$

are readily calculated for the various  $J\Gamma \rightarrow J'\Gamma'$  electronic transitions involved in the energy-transfer process considered in this paper. The reduced matrix elements in Eq. (10) are calculated using Eqs. (10)–(13) of Ref. [6].

### 3. Results

#### 3.1. Experimental decay curves

At 300 K the luminescence decay curves from the  ${}^4G_{5/2}$  state of  $\text{Sm}^{3+}$  in both  $\text{Cs}_2\text{NaSm}_{0.01}\text{Gd}_{0.99}\text{Cl}_6$  and

$\text{Cs}_2\text{NaSm}_{0.01}\text{Eu}_{0.99}\text{Cl}_6$  show a fast non-exponential initial decay due to cross-relaxation to nearest-neighbour  $\text{Sm}^{3+}$  acceptor ions with a rate of  $k^{\text{CR}} = 2120 \text{ s}^{-1}$ . At times  $> 2.5 \times 10^{-3} \text{ s}$  both decay curves are essentially exponential with the lifetime of the  ${}^4G_{5/2}$  state of  $\text{Sm}^{3+}$  decreasing by a factor of 6.6 for replacing  $\text{Gd}^{3+}$  by  $\text{Eu}^{3+}$ . Both decay curves are exactly described by Eq. (1) and the stronger quenching of luminescence from the  ${}^4G_{5/2}$  state in  $\text{Cs}_2\text{NaSm}_{0.01}\text{Eu}_{0.99}\text{Cl}_6$  is assigned to additional energy transfer between  $\text{Sm}^{3+}$  donor ions and  $\text{Eu}^{3+}$  acceptors at a rate  $k^{\text{ET}} = 49.9 \text{ s}^{-1}$ .

#### 3.2. Numerical calculation

In the following we calculate the near-resonant magnetic dipole–magnetic dipole contribution to the energy-transfer rate from a  $\text{Sm}^{3+}$  donor ion to a single  $\text{Eu}^{3+}$  acceptor ion in the first shell. The calculation of resonant energy-transfer rates using the Förster–Dexter equation for magnetic dipole–magnetic dipole interaction [3,4] involves the determination of crystal-field eigenstates for both donor and acceptor ions and the magnetic dipolar coupling strength associated with the transitions considered in the energy-transfer process. The energy mismatch between donor emission and acceptor absorption transitions is introduced in the overlap integral of normalized lineshape functions for these transitions.

The compositions of the free-ion energy levels of  $\text{Sm}^{3+}$  and  $\text{Eu}^{3+}$  involved in the  $({}^4G_{5/2})\Gamma_7 \rightarrow ({}^6H_{7/2})\Gamma_8$  donor transition and the  $({}^7F_1)\Gamma_4 \rightarrow ({}^5D_0)\Gamma_1$  acceptor transition shown in Fig. 1 as a pair of transitions BB' are taken from Refs. [7–9], respectively. The basis functions used in the calculation of magnetic-dipole transition moments are taken from Griffith [10]. We note that beside these resonant transitions a non-resonant pathway involving the ground states of both the initial donor and acceptor multiplets is present and indicated as a pair of transitions AA' in Fig. 1.

The magnetic dipolar coupling strength for the donor transition is given by

$$\begin{aligned} & \langle ({}^6H_{7/2})\Gamma_8 | \hat{m}^{(\Gamma_4)} | ({}^4G_{5/2})\Gamma_7 \rangle = \sum_{S,S',J,J'} \sum_{L,L'} \\ & \langle [SL]J || \hat{m}^{(\Gamma_4)} || [S'L']J' \rangle \delta_{SS'} \delta_{LL'} \Delta(JJ'1) \sum_{\gamma,\gamma',\gamma_0} \\ & c(J\Gamma_8\gamma)c(1\Gamma_4\gamma_0)c(J'\Gamma_7\gamma') \begin{pmatrix} J\Gamma_8 & 1\Gamma_4 & J'\Gamma_7 \\ \gamma & \gamma_0 & \gamma' \end{pmatrix} \end{aligned} \quad (12)$$

The magnetic-dipole moments for the  $({}^4G_{5/2})\Gamma_7 \rightarrow ({}^6H_{7/2})\Gamma_8$  donor transition and the  $({}^7F_1)\Gamma_4 \rightarrow ({}^5D_0)\Gamma_1$  acceptor transition are  $(1.830 \pm 0.497) \mu_B$  and  $-(0.463 \pm 0.126) \mu_B$ , respectively. Substitution of these values in Eq. (7) and utilizing the geometric factor for magnetic dipole–magnetic dipole interaction,  $G_n^6 = \frac{2}{3}$  [11], the square of the interaction energy representing the coupling of the magnetic dipoles

$\mu_{d'd}$  and  $\mu_{aa'}$  is  $E_{\text{int}}^2 = (1.963 \pm 1.066) \times 10^{-53} \text{ J}^2$ . The resonant energy-transfer rate  $k^{\text{ET}}$  between the  $\text{Sm}^{3+}$  donor ion and a nearest-neighbour  $\text{Eu}^{3+}$  acceptor is related to this interaction energy by

$$k^{\text{ET}}(\text{MD}) = \frac{2\pi}{\hbar} p(^4\text{G}_{5/2})\Gamma_7 p(^7\text{F}_1)\Gamma_4 E_{\text{int}}^2 \int f_{d'd}(E) f_{aa'}(E) dE \quad (13)$$

where the overlap integral is calculated using normalized Lorentzian line-shape functions for the donor emission and acceptor absorption transitions. The full width at half maximum,  $\Delta E$ , is taken as  $9.932 \times 10^{-23} \text{ J}$  for both donor and acceptor transitions, and the transition maxima are measured as  $(3.352 \pm 0.001) \times 10^{-19} \text{ J}$  and  $(3.349 \pm 0.002) \times 10^{-19} \text{ J}$  for donor and acceptor transitions, respectively, corresponding to  $(16872 \pm 8)$  and  $(16858 \pm 5) \text{ cm}^{-1}$ . Considering these values and the integral of product Lorentzian functions which is evaluated as

$$\int f_{d'd}(E) f_{aa'}(E) dE = \frac{2}{\pi} \frac{\Delta E_{d'd} + \Delta E_{aa'}}{4(E_{d'd} - E_{aa'})^2 + (\Delta E_{d'd} + \Delta E_{aa'})^2} \quad (14)$$

the value of the overlap integral is  $3.625 \times 10^{-20} \text{ J}^{-1}$ . At 300 K the thermal population of the  $\text{Sm}^{3+}$  ( $^4\text{G}_{5/2}$ ) $\Gamma_7$  state and the  $\text{Eu}^{3+}$  ( $^7\text{F}_1$ ) $\Gamma_4$  level are about 8 and 32%, respectively. Substitution in Eq. (13) gives  $k^{\text{ET}} = (12.0 \pm 6.5) \text{ s}^{-1}$  for the rate of near-resonant energy transfer between a  $\text{Sm}^{3+}$  donor ion and a single nearest-neighbour  $\text{Eu}^{3+}$  acceptor ion at 300 K.

#### 4. Conclusions

We have calculated the near-resonant contribution,  $k^{\text{ET}} = (12.0 \pm 6.5) \text{ s}^{-1}$ , to the rate of energy transfer from a  $\text{Sm}^{3+}$  donor ion to a nearest-neighbour  $\text{Eu}^{3+}$  acceptor in the hexachloroelpasolite crystals  $\text{Cs}_2\text{NaSm}_x\text{Eu}_y\text{Gd}_{1-x-y}\text{Cl}_6$  assuming magnetic dipole–magnetic dipole interaction among donor and acceptor ions. Comparison of this rate

with the total energy-transfer rate,  $k^{\text{ET}} = 49.9 \text{ s}^{-1}$ , determined experimentally from the luminescence decay curve of the  $^4\text{G}_{5/2}$  state of  $\text{Sm}^{3+}$  in  $\text{Cs}_2\text{NaSm}_{0.01}\text{Eu}_{0.99}\text{Cl}_6$  by using the shell model for energy transfer shows a discrepancy by a factor of 4. Beside the near-resonant magnetic dipole–magnetic dipole contribution the experimental rate consists of additional non-resonant electric dipole vibronic–electric dipole vibronic contributions which are not included in our calculation.

Within the uncertainty involved in the theoretical calculation the order of magnitude of the near-resonant contribution to the total energy-transfer rate is comparable with the experimental value estimated from its temperature dependence as  $(30 \pm 5) \text{ s}^{-1}$ . This agreement shows the applicability of the shell model to determine energy-transfer rates in crystalline solids of high symmetry.

#### Acknowledgements

One of us (TL) acknowledges partial financial support of this work by the Graz Technical University.

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